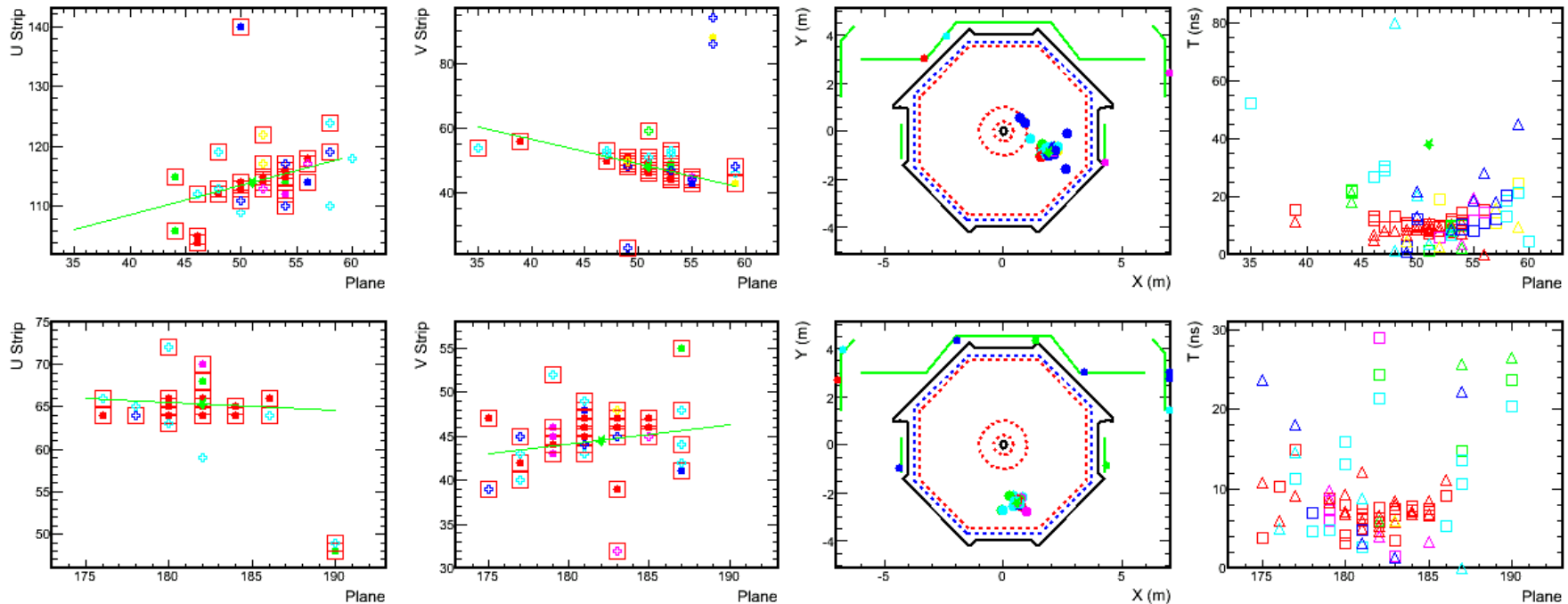




Atmospheric Electron Neutrinos in the MINOS Far Detector



Ben Speakman, University of Minnesota

Week in the Wood Thesis Talk

June 15, 2007



Talk Outline



- Analysis Motivation
- Showering Event Selection
- Track-like Event Selection
- Cosmic-Ray Veto Shield
- Double Ratio Measurement
- Neutrino Oscillation Analysis
- Atmospheric Neutrino Flux Measurement



Analysis Motivation & Strategy



- Isolate contained vertex (CV) atmospheric ν_e CC + ν NC rich “Showering” events and ν_μ CC rich “Track-like” events.
- Evaluate oscillation with the flavor double ratio
$$R = (\# \text{ Trk} / \# \text{ Shw})_{\text{Data/MC}} \sim (\nu_\mu / \nu_e)_{\text{Data/MC}}$$
- Measure the atmospheric neutrino flux with the combination of #Trk and #Shw.
- Use Cambridge ntuple set from construction completion (8/2003) until beam running (2/2005), total of 418.5 live days.



Atmospheric ν Selection and Cosmic Ray Reduction



How does one go about reducing the cosmic-ray background?

1. Bury the detector, $\frac{1}{2}$ mile should do it.
2. Select “contained vertex” (CV) events.
3. Remove steep and shallow events.
4. Observe ν -like event topologies.
5. Use the cosmic-ray veto shield.

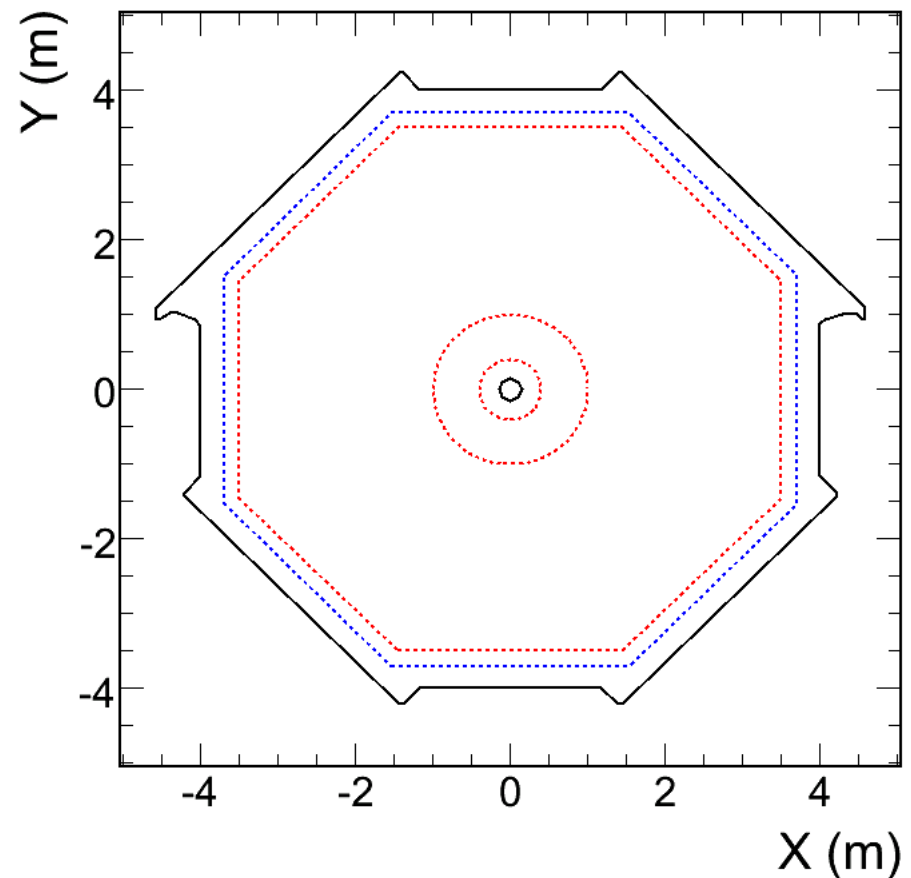


Energy and Vertex Containment



- Energy Containment low-level filter
 - 3-D Hit Positions
 - 30 cm to Outer Edge
 - 5 Planes to SM Edge
 - Defines events as PC, FC, or through-going
- Vertex Containment event-level filter
 - Shower/Track Vertex
 - 50 cm to Outer Edge
 - 5 Planes to SM Edge
 - 40 cm from Center, or 100cm from Center on outer planes

Fiducial Mass = 3.94 kton





CV Event Selection Strategy



Showering Events

1. 1 Shower (≥ 5 Planes)
2. Clean Event & Shower
3. Shower Length Cut Optimization
 - Short Shower ≤ 8 Planes
 - Long Shower > 8 Planes
4. Trace Z Selection
5. Shower Topology
 - a. Principal Axes Moments
 - b. Energy Deposition Profile
6. Veto Shield Tagging

Track-like Events

Based on Cambridge Selection

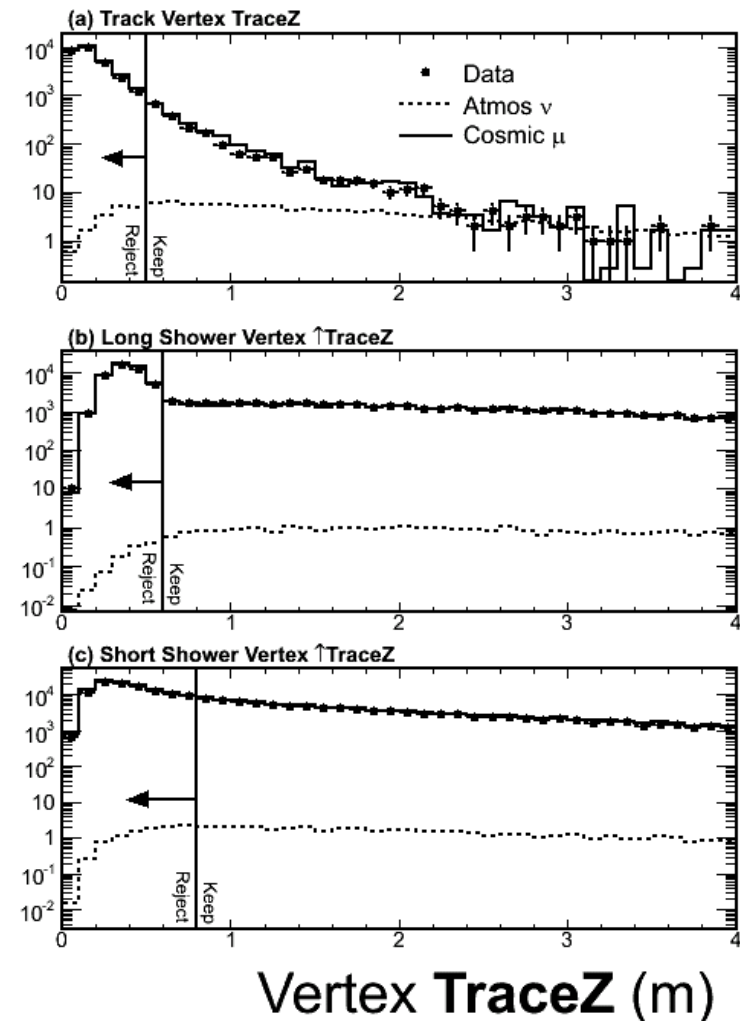
1. 1 Track (≥ 8 Planes)
2. Clean Event & Track
3. FC & PC Down Tracks
 - a. Trace Z Selection
 - b. Vertex Hits Topology
 - c. Veto Shield Tagging
4. PC Up Tracks
 - a. Timing Quality



TraceZ Enhanced Containment



- **Trace** the distance back the nearest edge.
- Project **Trace** on to the Z-axis
→ **TraceZ**
- Tracks: **TraceZ** > 50 cm
- Showers: Use \uparrow **TraceZ**
 - \uparrow **TraceZ** > 60 cm (Long Shw)
 - \uparrow **TraceZ** > 80 cm (Short Shw)

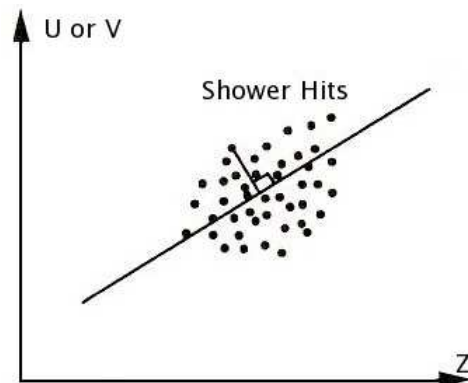




Shower Topology Selection



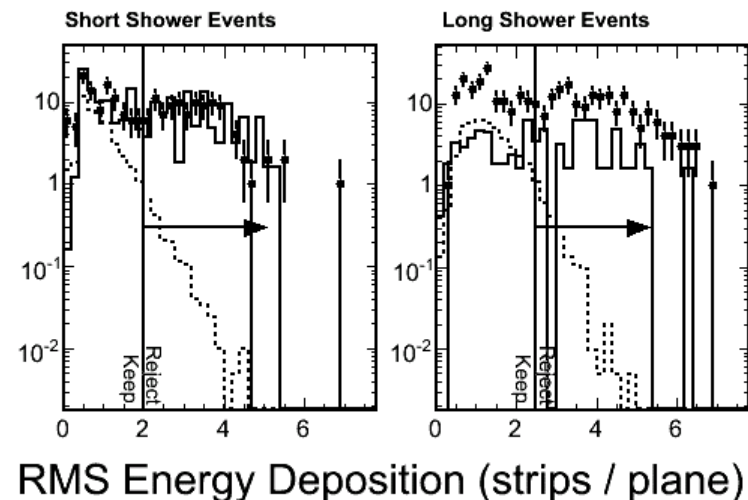
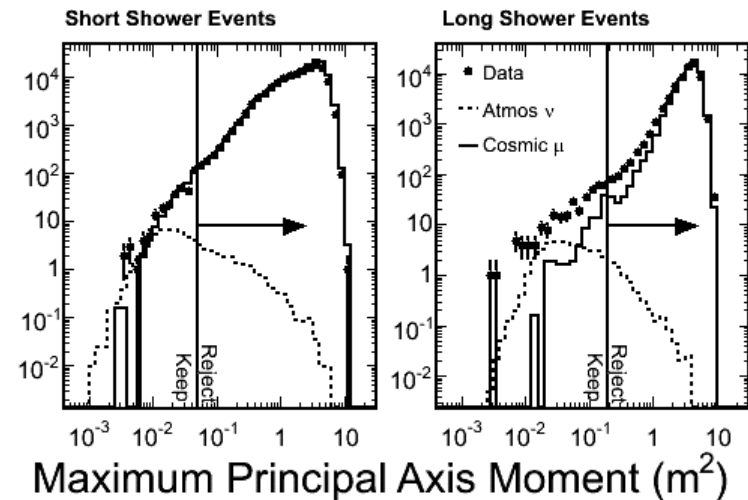
- Moment about Principal Axis



- Shower Energy Deposition Profile

– Shower energy deposition in a plane \sim number of strips

$$\text{RMSStpPln} = \langle (\text{strips/plane})^2 \rangle^{1/2}$$



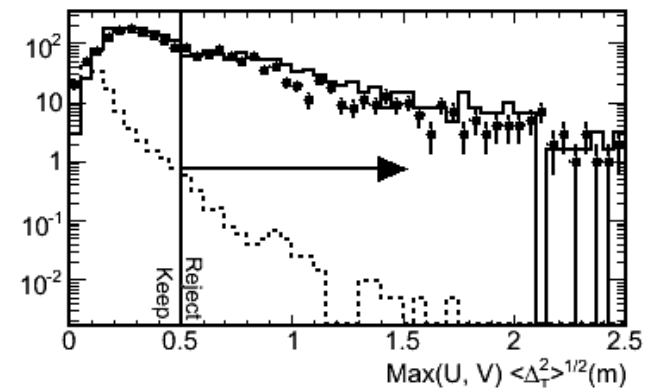
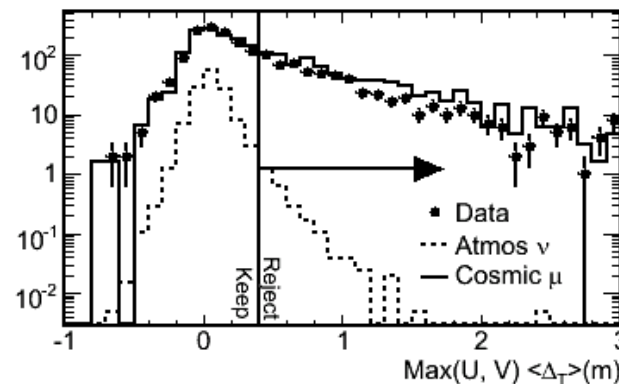


FC / PCDN Track Topology Selection

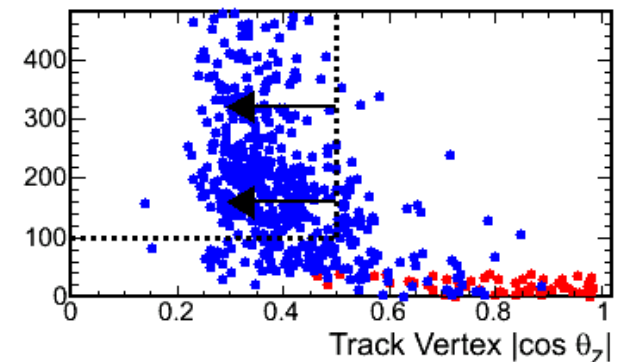
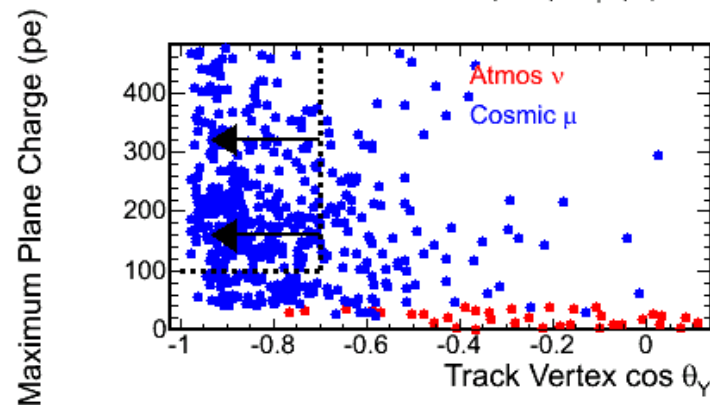


Topology of hits in vertex planes are examined for two pathologies.

1. ΔT = Distance from vertex in each view, use mean and RMS.



2. Q_{Max} = Maximum Vertex Plane Charge, for steep events

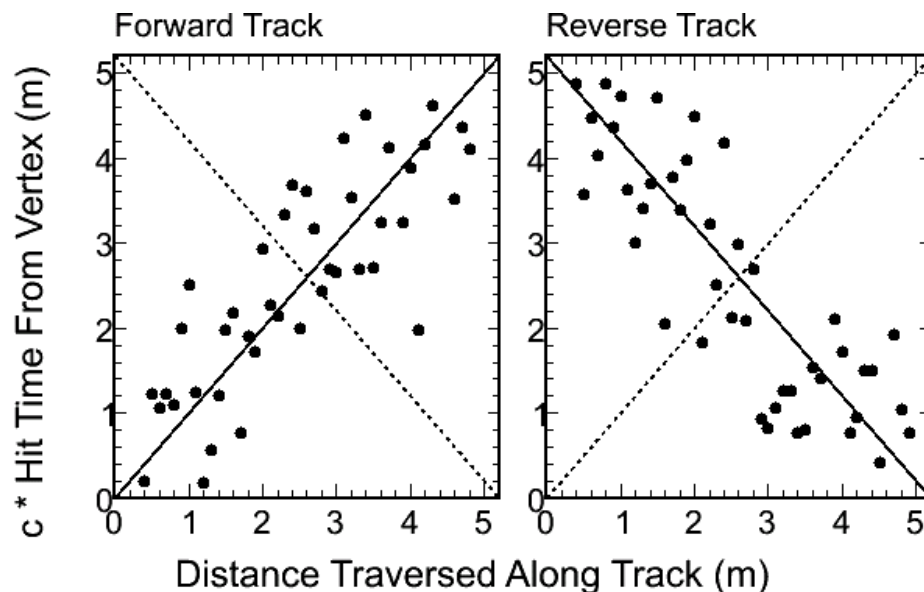




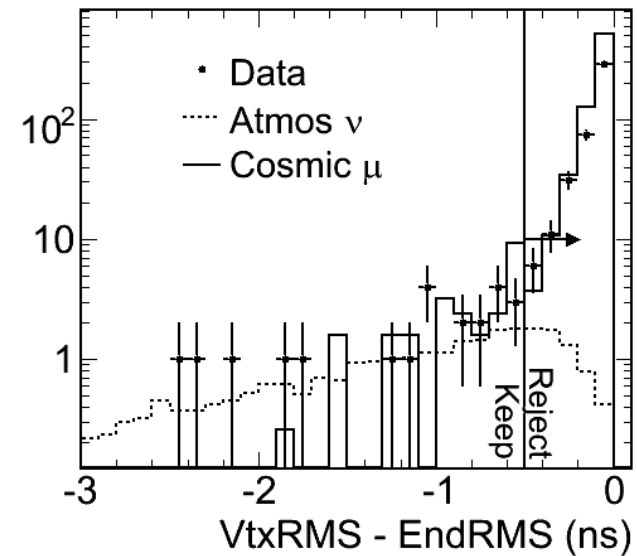
PCUP Track Timing Selection



- Track direction is decided with timing fit



- One direction fits better, and track sides labeled “vertex” and “end”



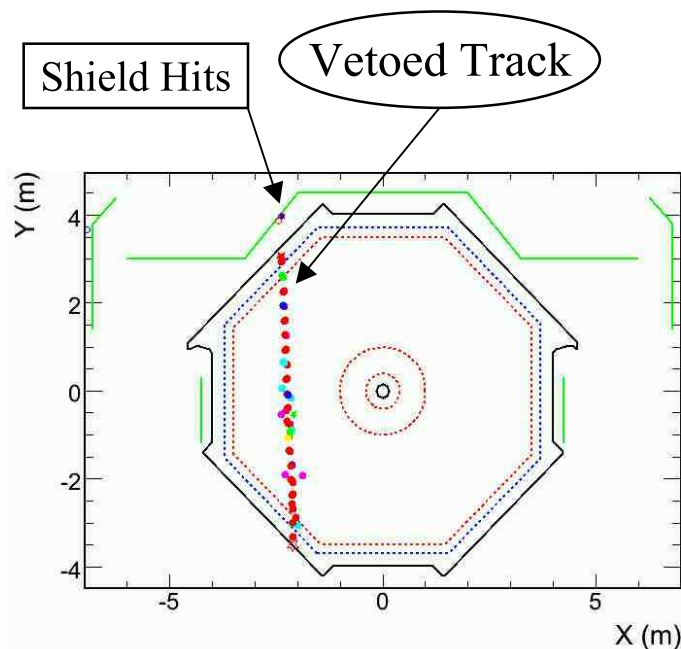
- Quality of Upward vs Downward Fit verifies direction



Veto Shield Tagging



- Coincident hits in the shield will tag Shower or FC/PCDN Track as vetoed.



- Tagging Efficiencies

- Cosmic-ray eff. (ϵ)
- Atmos ν eff (η)

- Data used to measure Efficiencies.

$$D(\text{data}) = S(\text{Signal}) + V(\text{Vetoed})$$

$$D = N_v + N_\mu$$

$$V = \eta \times N_v + \epsilon \times N_\mu$$

$$S = (1-\eta) \times N_v + (1-\epsilon) \times N_\mu$$



Shower Selection Results



| Cut | Data | MC Expectation | | | | |
|------------------|---------------|----------------------------------|----------------|----------------|-----------------------------------|--------------------------------|
| | | ν_e CC | ν_μ CC | ν NC | CR μ | N |
| Pre-selection | 792800 | 101.4 \pm 0.7 | 66.8 \pm 0.6 | 34.0 \pm 0.4 | 885072\pm1233 | 68.0\pm3.3 |
| Shower Quality | 345662 | 67.9 | 40.7 | 22.1 | 401330 | 26.5 |
| Vertex TraceZ | 196934 | 64.3 | 34.6 | 20.6 | 223562 | 19.0 |
| Principal Moment | 533 | 53.4 | 19.9 | 15.7 | 223 | 2.6 |
| Energy Profile | 251 | 50.4 | 19.0 | 15.0 | 126 | 2.4 |
| Shield | 89 | 81.8 \pm 0.6 | | | 3.81\pm0.58 | 2.3\pm0.6 |

$$\epsilon_{\text{shw}} = 0.976 \pm 0.002$$
$$\eta_{\text{shw}} = 0.0261 \pm 0.0011$$

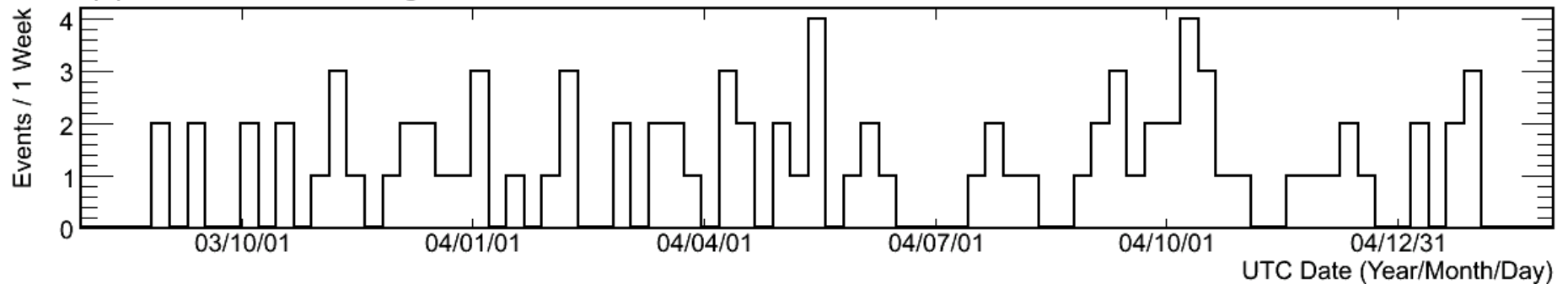
Scale MC ν , N by $(\epsilon - \eta)/\epsilon$ to match shield
Scale Vetoed by $(1-\eta)/\epsilon$ to measure CR μ



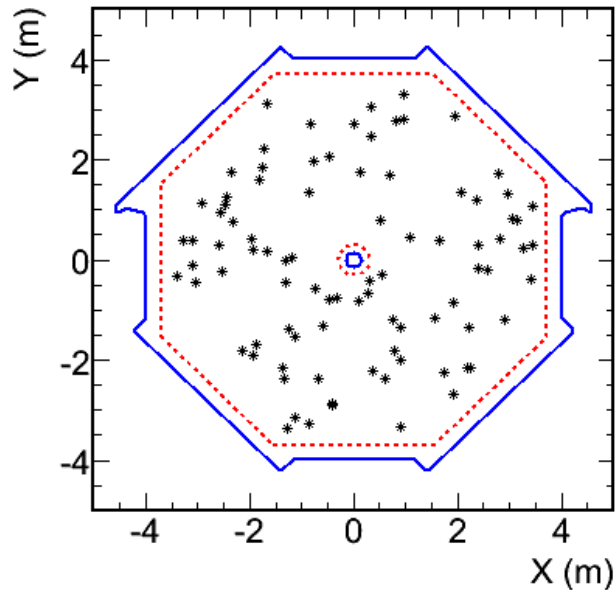
Selected Shower Events



(a) Selected Showering Events: Event Date

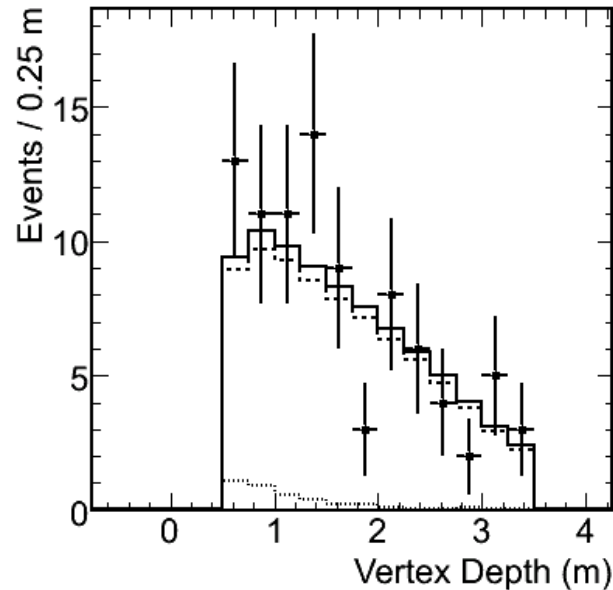


(b) Vertex X v Y Position



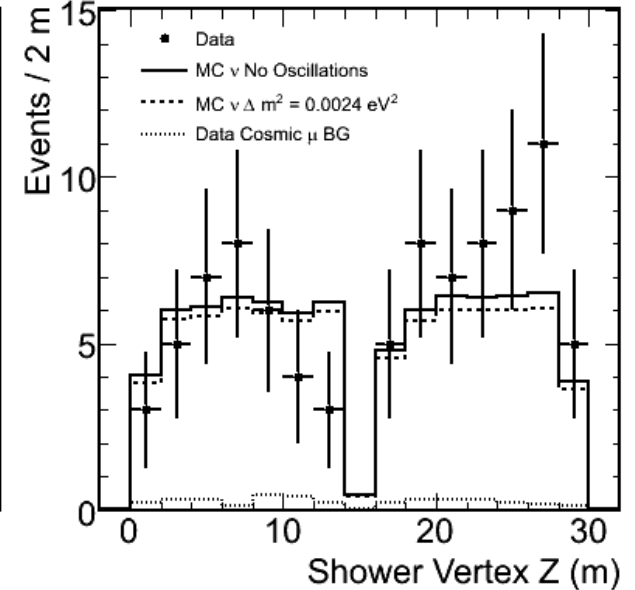
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(c) Vertex Depth Into Detector



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(d) Vertex Z Position



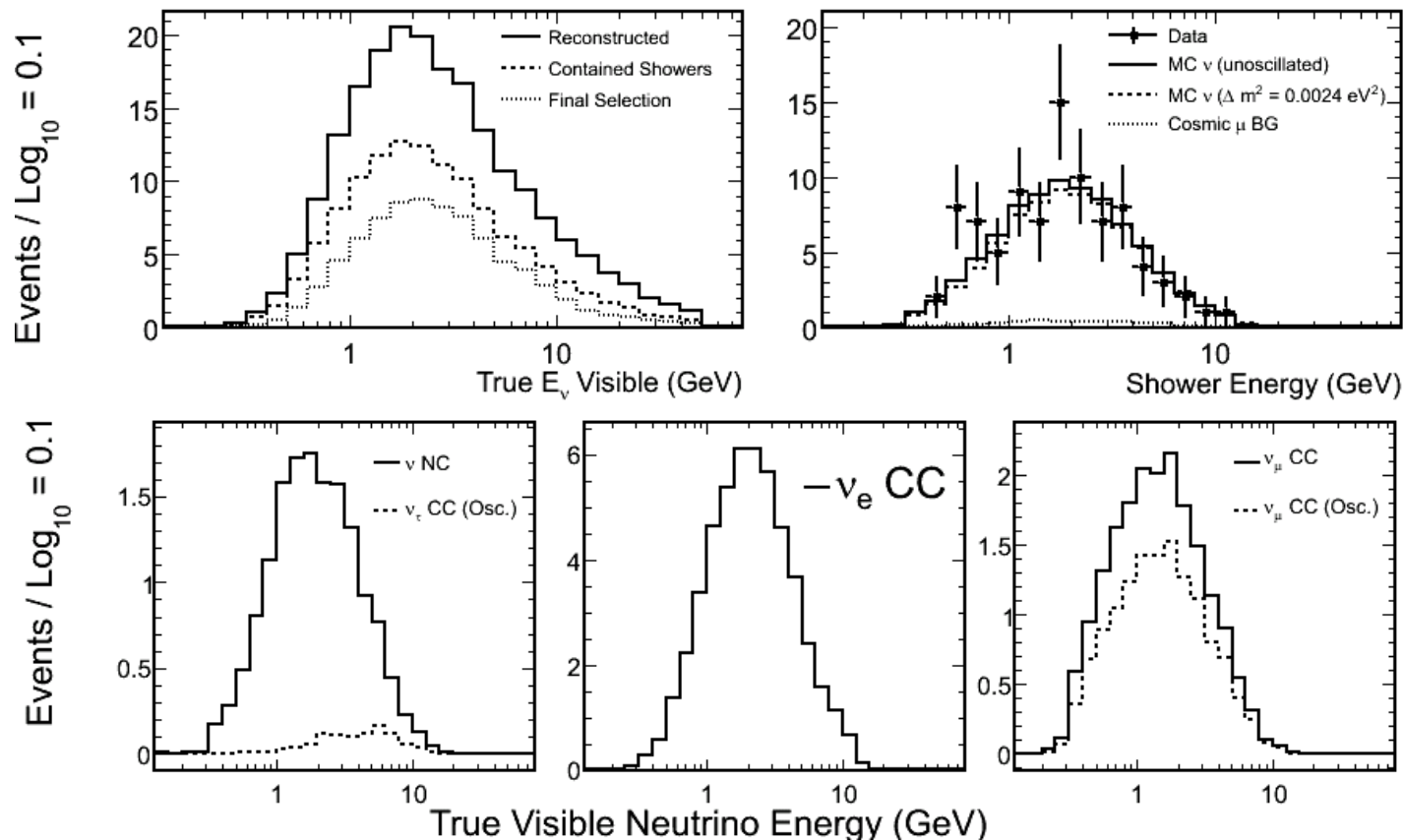
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Shower Spectra



Shower energy tuned to ν_e CC $\frac{\sigma_E}{E} = 20\% \otimes \frac{45\%}{\sqrt{E}}$





FC / PCDN Track Selection Results



| Cut | Data | MC Expectation | | | | |
|---------------|--------------|-----------------------------------|----------------|---------------|---------------------------------|-------------------------------|
| | | ν_μ CC | ν_e CC | ν NC | CR μ | N |
| Pre-selection | 54072 | 186.7 \pm 1.0 | 10.8 \pm 0.2 | 7.6 \pm 0.2 | 58496\pm260 | 4.0\pm0.8 |
| Track Quality | 30656 | 143.1 | 3.9 | 3.2 | 34721 | 1.6 |
| Vertex TraceZ | 1926 | 127.7 | 3.5 | 3.0 | 2284 | 0 |
| Vertex Hits | 1025 | 124.1 | 3.4 | 2.8 | 1099 | 0 |
| Vertex Charge | 293 | 118.9 | 3.3 | 2.7 | 215.7 | 0 |
| Shield | 97 | 121.6 \pm 0.8 | | | 5.2 \pm 0.8 | <0.03 |

$$\epsilon_{\text{trk}} = 0.973 \pm 0.004$$
$$\eta_{\text{trk}} = 0.0255 \pm 0.0011$$

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Scale MC ν , N by $(\epsilon - \eta)/\epsilon$ to match shield
Scale Vetoed by $(1-\eta)/\epsilon$ to measure CR μ

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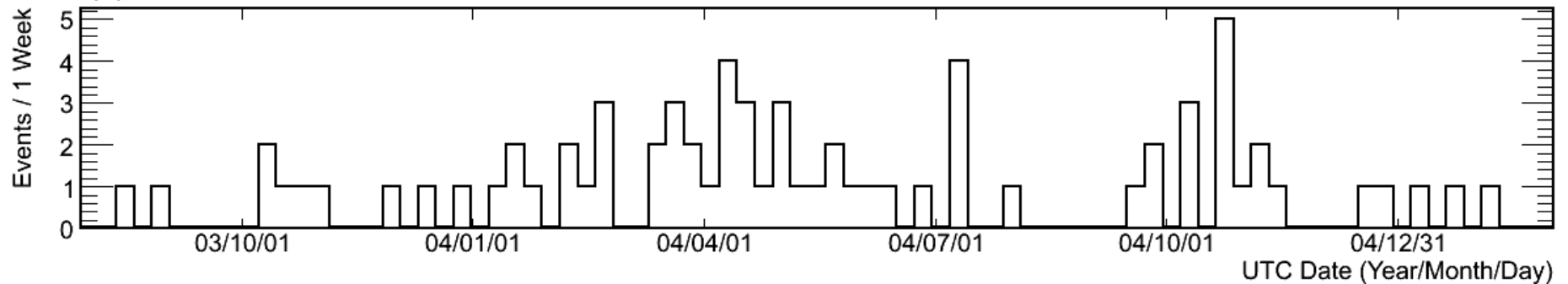
15/29



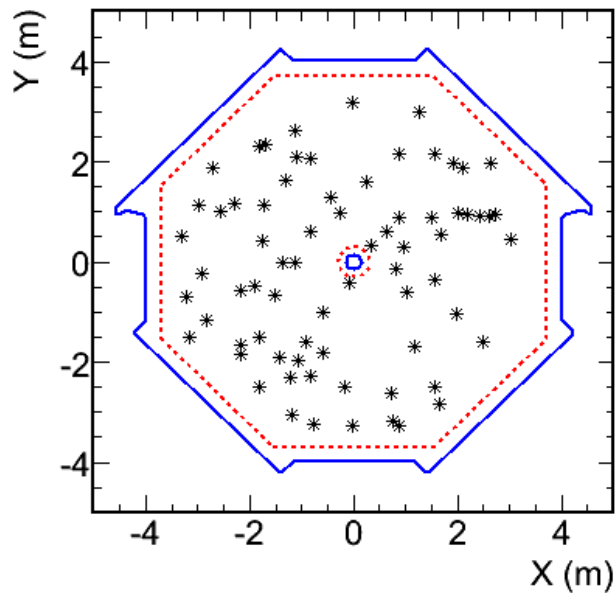
Selected FC Track Events



(a) Selected Track-like FC Events: Event Date

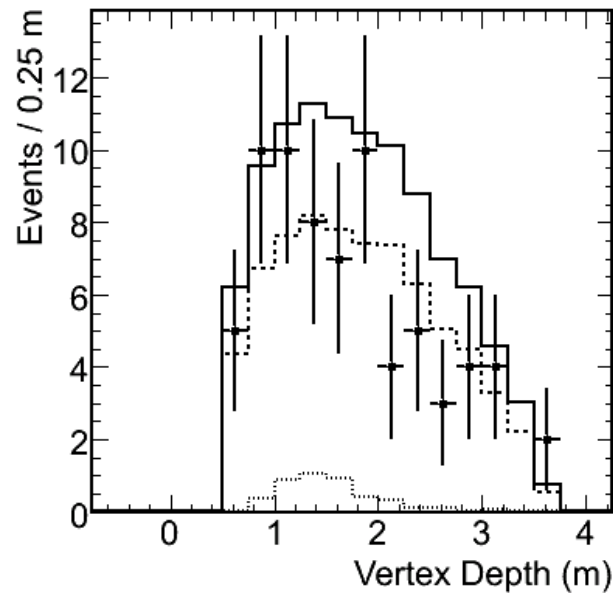


(b) Vertex X v Y Position



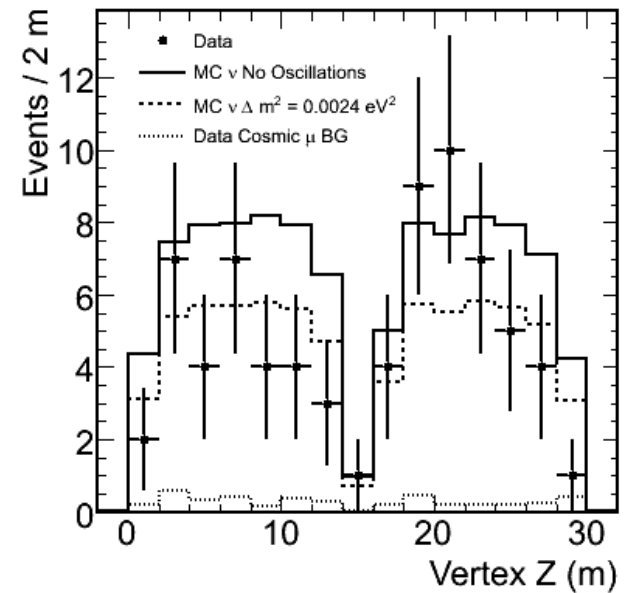
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(c) Vertex Depth Into Detector



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(d) Vertex Z Position



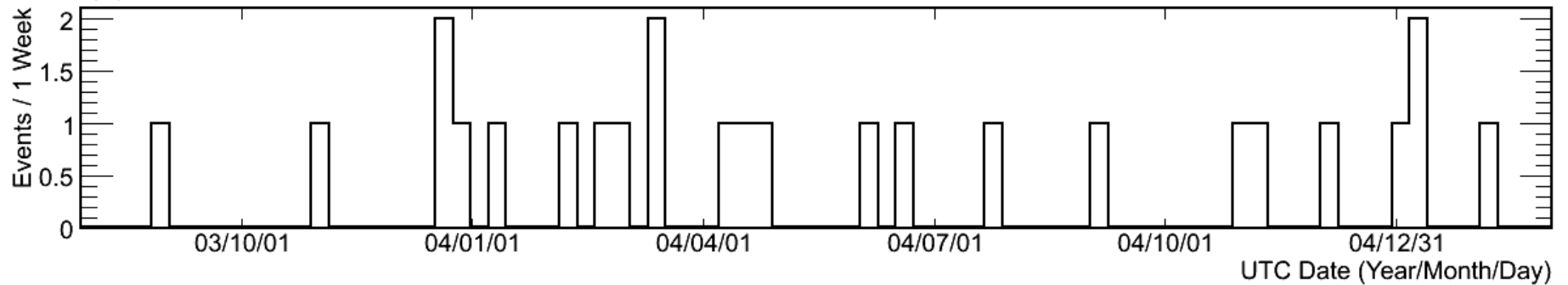
16/29



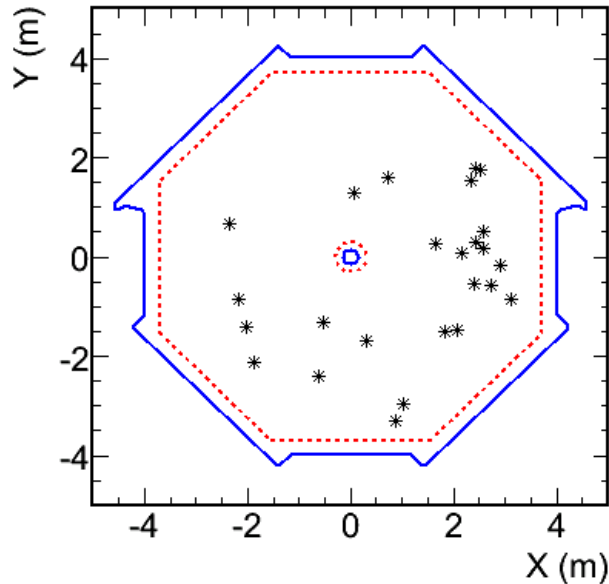
Selected PCDN Track Events



(a) Selected Track-like PCDN Events: Event Date

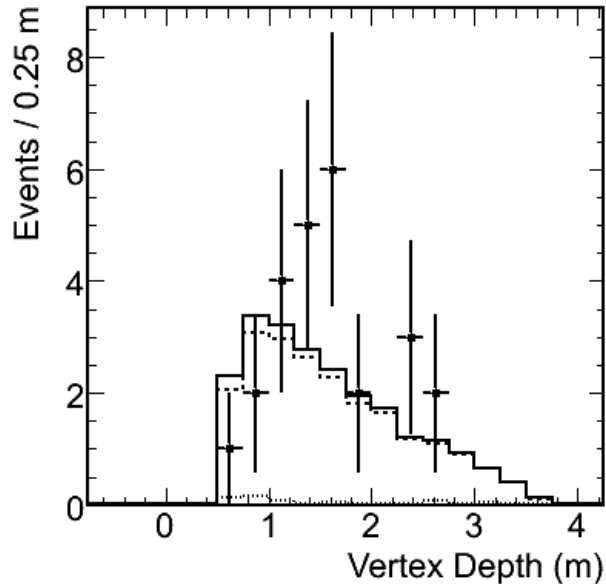


(b) Vertex X v Y Position



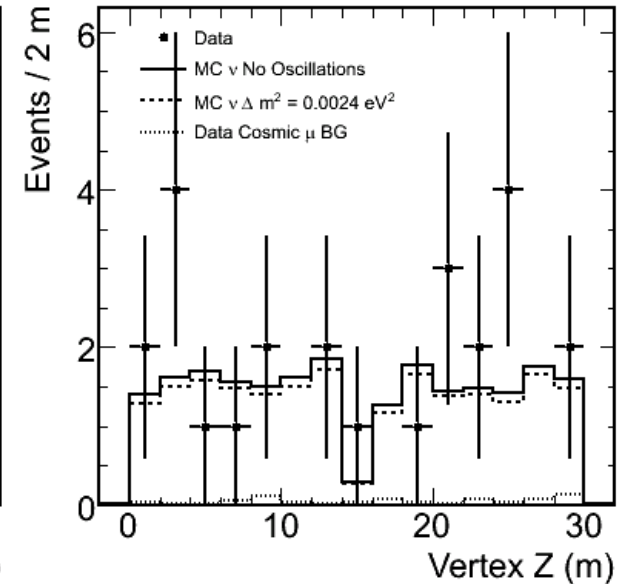
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(c) Vertex Depth Into Detector



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(d) Vertex Z Position



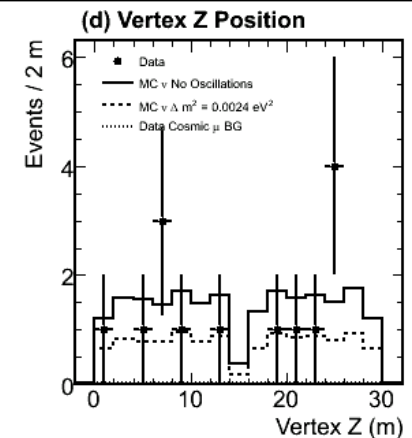
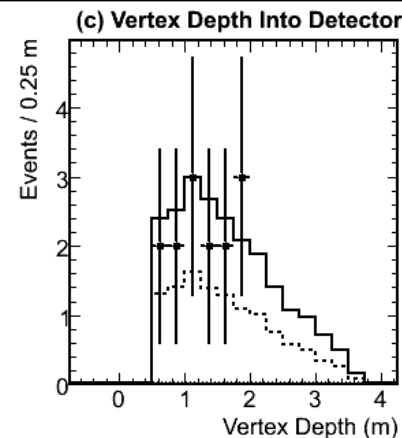
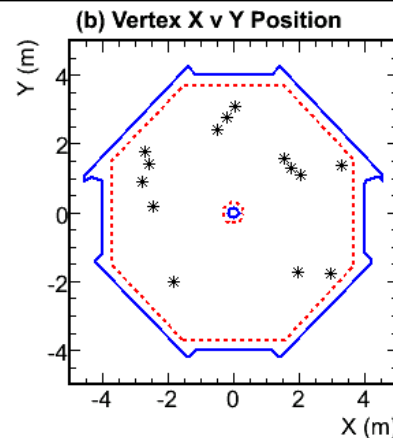
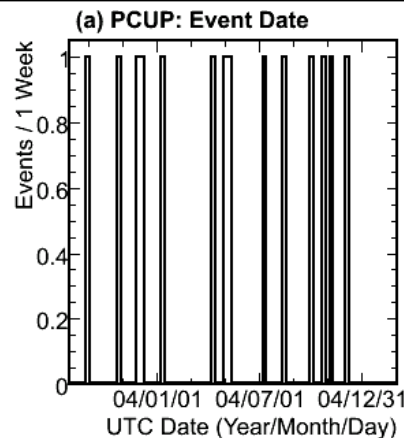
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PCUP Track Selection



| Cut | Data | MC Expectation | | | | |
|--------------------|-------------|----------------------------------|-----------------|-----------------|-----------------|-----------------|
| | | ν_μ CC | ν_e CC | ν NC | CR μ | N |
| Pre-selection | 2999 | 35.0 ± 0.4 | 0.51 ± 0.05 | 0.43 ± 0.05 | 3493 ± 64 | 1.6 ± 0.5 |
| Track Quality | 391 | 26.8 | 0.069 | 0.088 | 616 | 0 |
| Timing Quality | 14 | 21.1 | 0.020 | 0.024 | 0 | 0 |
| Final Count | 14 | 21.7 ± 0.3 | | | <0.41 | <0.04 |





Atmospheric Neutrino Flavor Double Ratio



- Double Ratio = $(\# \text{ Tracks} / \# \text{ Showers})_{(\text{Data/MC})}$
- Observe 89 Showers and 112 Tracks
- Use the shield efficiencies to adjust FC/PCDN Track and Shower expectations.
- $\text{ExpShw} = 88.8 \pm 0.9$ (MC Statistical)
- $\text{ExpTrk} = 149.8 \pm 0.9$

$$\mathbf{R} = 0.746^{+0.116}_{-0.099} (\text{statistical})$$

- Coverages found with Monte Carlo, also find that observed disfavors null oscillation hypothesis to 98.7% single-sided confidence limit.



Double Ratio Systematic Errors



Use the following systematic variances to observe:

$\Delta(\# \text{ Tracks})$, $\Delta(\# \text{ Showers})$, and $\Delta\mathbf{R}$

1. Tracking Energy Cutoff: 100keV vs. 10keV
2. Neutrino Flux Normalization: $\pm 20\%$
3. Quasi-Elastic cross-section: $\pm 10\%$
4. Neutral-Current cross-section: $\pm 25\%$
5. Neutron Flux Normalization: $\pm 20\%$



Double Ratio Systematic Errors



| | $\Delta E_{\text{shw}}(\%)$ | $\Delta E_{\text{trk}}(\%)$ | $\Delta R(\%)$ |
|--|-----------------------------|-----------------------------|----------------|
| 10keV Cutoff | +4.26 | +0.023 | +4.24 |
| ν Flux $\pm 20\%$ | ± 18.5 | ± 19.3 | ± 0.742 |
| NC $\pm 25\%$ | ± 4.11 | ± 0.453 | ± 3.64 |
| QE $\pm 10\%$ | ± 2.62 | ± 4.43 | $-(\pm 1.72)$ |
| Neutron $\pm 20\%$ | ± 0.533 | ± 0.0 | ± 0.529 |

Cumulative Systematic Error $\Delta R = 5.93\%$

$$R = 0.746^{+0.116}_{-0.099}(\text{stat.}) \pm 0.044(\text{syst.})$$



Double Ratio Results



$$\mathbf{R} = 0.746^{+0.116}_{-0.099}(\text{stat.}) \pm 0.044(\text{syst.})$$

Statistically disfavors null oscillation at 97.3%

Accounting for systematic error,
disfavors null oscillation at 96.0%



Atmospheric Neutrino Flux Measurement



- Flux measurement is expressed as a normalization factor (S_{atm}) to a particular flux model.
- First order calculation, use the number of showering neutrino interactions with the *Bartol04-3D* atmospheric neutrino model.

$$S_{\text{atm}} = 1.01 \pm 0.12 \pm 0.07$$

- Flux measurement can be enhanced with an oscillation analysis.



Maximum Likelihood Method



- Minimize the negative-log likelihood.
$$-2\ln[\mathcal{L}] = 2\Sigma[E_i - O_i * \ln(E_i)] + (\alpha/\sigma)^2$$
- Fit three parameters (S_{atm} , $\sin^2(2\theta)$, Δm^2) to two bins (# of showers and tracks)
- Penalize the scale factor: $\alpha = S_{\text{atm}} - 1.0$
- Use $\sigma = 2.0$ to penalize weakly, permitting the flux normalization to float freely and account for oscillation



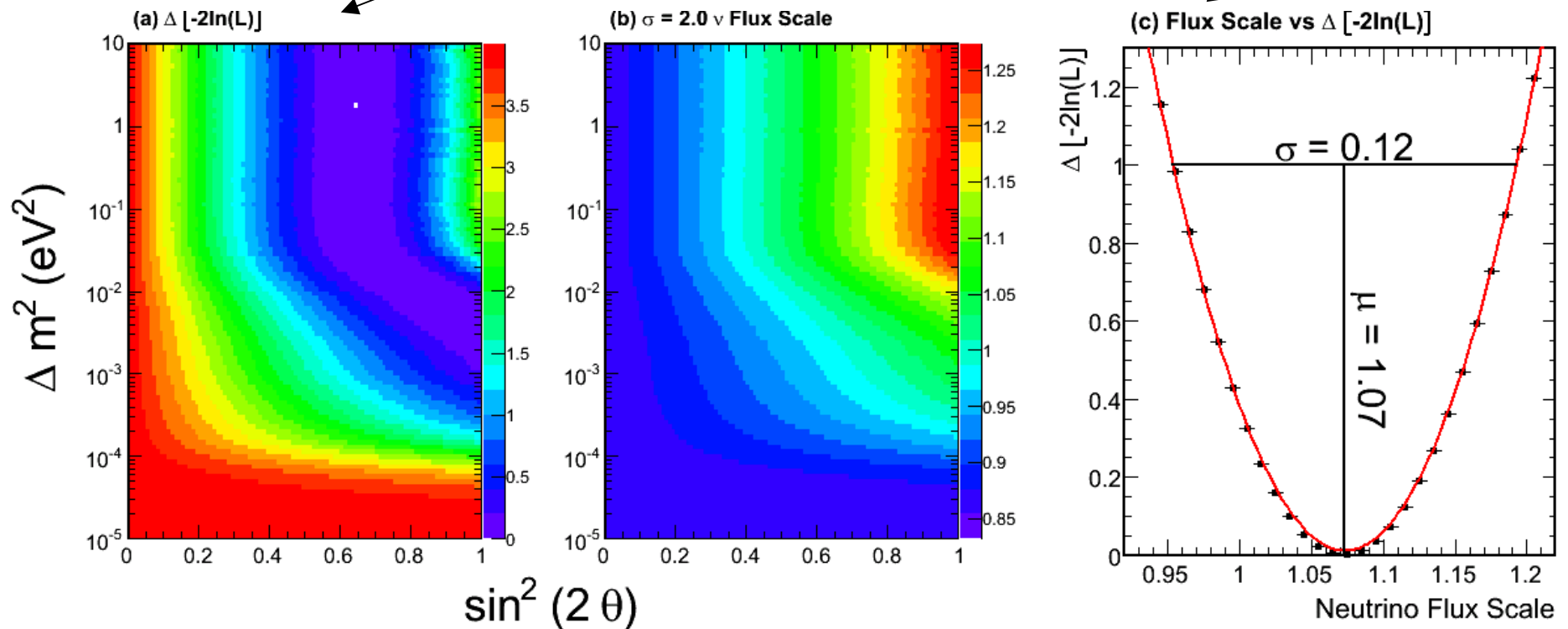
Weakly Penalized Scale Factor



Combine $\Delta [-2 \ln(L)]$

With Best Fit S_{atm}

To measure S_{atm}





Flux Scale Result

| | Adjusted S_{atm} | $\Delta S_{\text{atm}}(\%)$ |
|--------------------------------------|---------------------------|-----------------------------|
| 10keV Cutoff | 1.02 | -4.88 |
| NC $\pm 25\%$ | 1.02, 1.13 | -5.20, +5.78 |
| QE $\pm 10\%$ | 1.05, 1.10 | -2.51, +2.62 |
| Neutron $\pm 20\%$ | 1.07, 1.08 | -0.676, +0.673 |

Cumulative Systematic Error = 8.92%

$$S_{\text{atm}} = 1.07 \pm 0.12(\text{stat.}) \pm 0.09(\text{syst.})$$

$$\text{Model Gives } S_{\text{atm}} = 1.0 \pm 0.2$$



Comparison to Soudan2 Flux Measurement



- Soudan2 measurements of Flux from *Bartol04-3D* Model

$$S_{\text{atm}}(\text{no-osc}) = 0.88 \pm 0.07$$

$$S_{\text{atm}}(\text{osc}) = 0.91 \pm 0.07$$

- Compared to the MINOS measurement

$$S_{\text{atm}}(\text{no-osc}) = 1.01 \pm 0.12 \pm 0.08$$

$$S_{\text{atm}}(\text{osc}) = 1.07 \pm 0.12 \pm 0.09$$



Summary Analysis Results



- Double Ratio

$$\mathbf{R} = 0.746^{+0.116}_{-0.099} \text{ (stat.) } \pm 0.044 \text{ (syst.)}$$

96.0% Rejection of Null Oscillation

Compare to Super-K (45kty) $R = 0.68 \pm 0.03 \pm 0.05$

- ***Bartol04-3D*** Flux Model Normalization

$$S_{\text{atm}} = 1.07 \pm 0.12 \text{ (stat.) } \pm 0.09 \text{ (syst.)}$$

Compare to model prediction $S_{\text{atm}} = 1.0 \pm 0.2$

and Soudan2 $S_{\text{atm}} = 0.91 \pm 0.07$



Conclusion



- Atmospheric flavor double ratio suggests neutrino oscillation, disfavors null oscillation with reasonable confidence.
- Understanding of the atmospheric neutrino flux model can be, and has been improved with the measurement of a normalization factor to the *Bartol04-3D* flux model.
- Future possibilities for this analysis:
 - Improve selection, statistics and systematics.
 - 3- ν oscillation studies might be performed with enhanced shower reconstruction (need better direction and energy)
- Many thanks to the Cambridge group for use of ntuples, and overwhelming analysis support.



Backup Slides



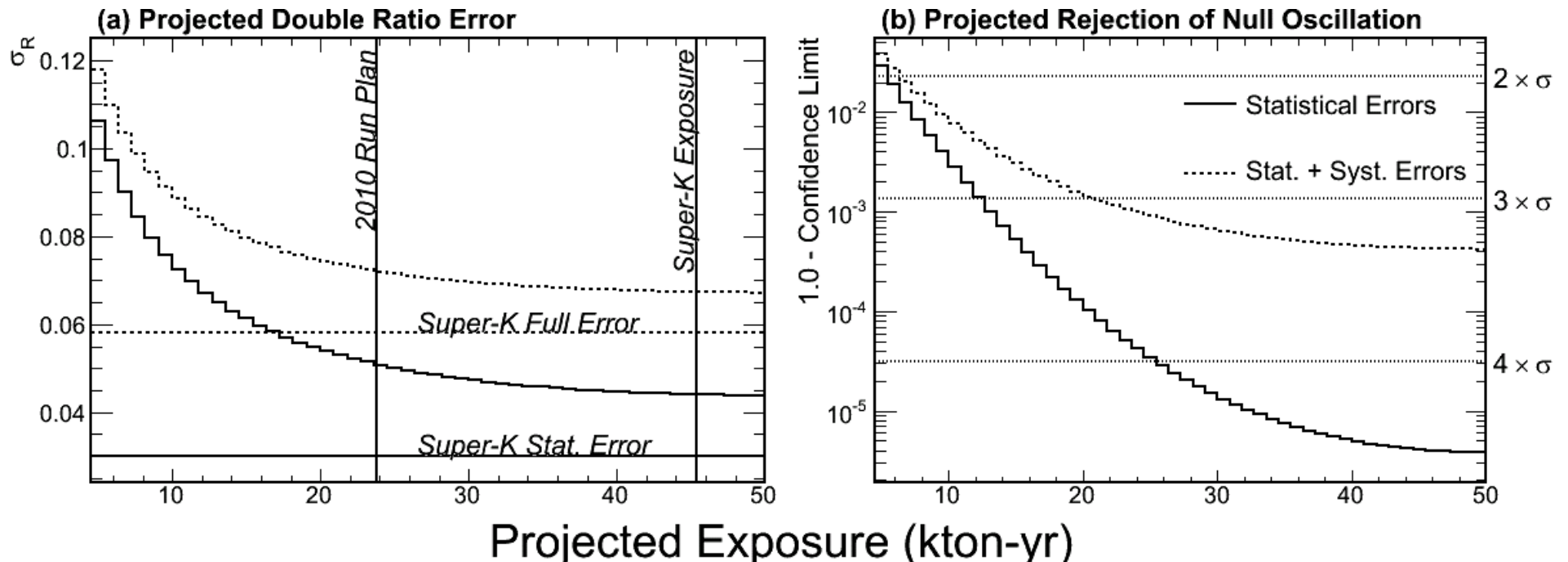


Projected Double Ratio Sensitivity



Project the double ratio and compare to SK

$$\mathbf{R} = (0.63 \pm 0.03 \pm 0.05) \text{ for } 46 \text{ kton-yr}$$

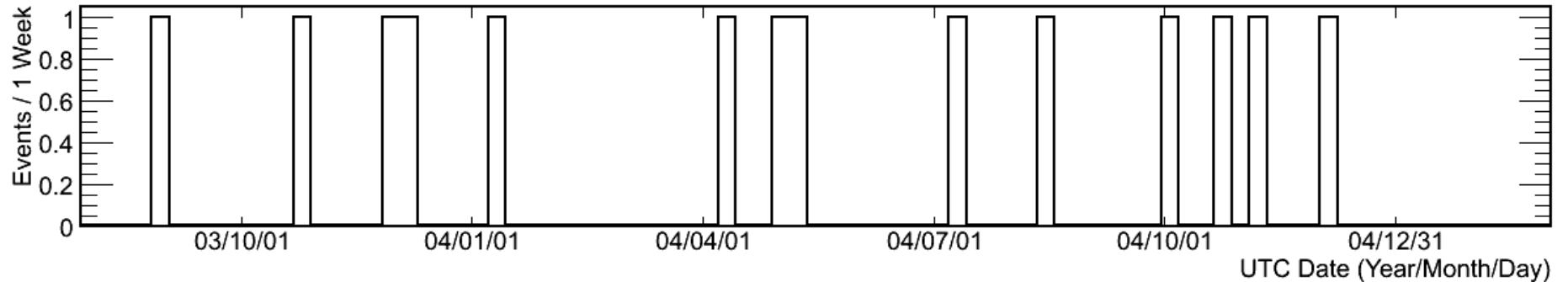




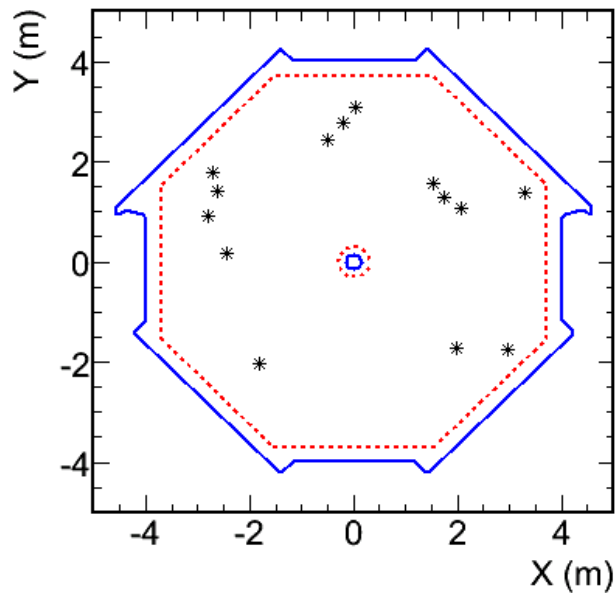
Selected PCUP Track Events



(a) Selected Track-like PCUP Events: Event Date

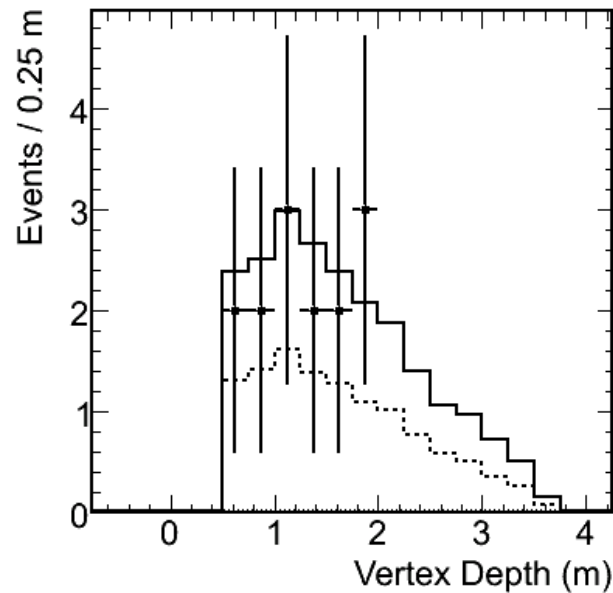


(b) Vertex X v Y Position



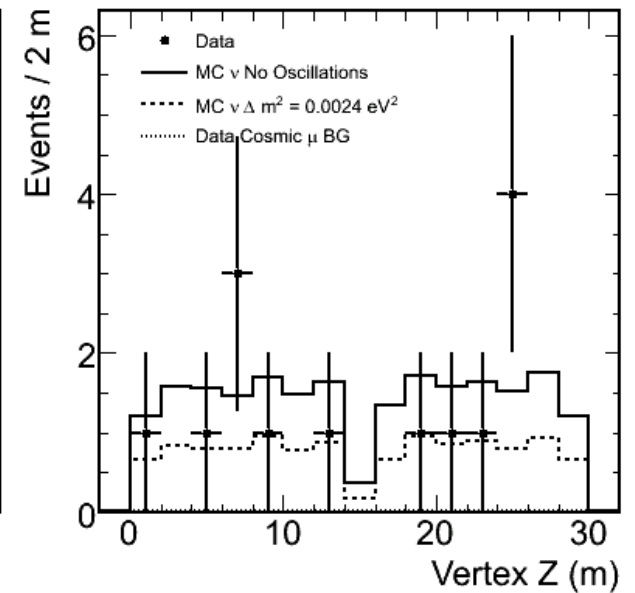
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(c) Vertex Depth Into Detector



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(d) Vertex Z Position



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Frequentist Double Ratio Fit



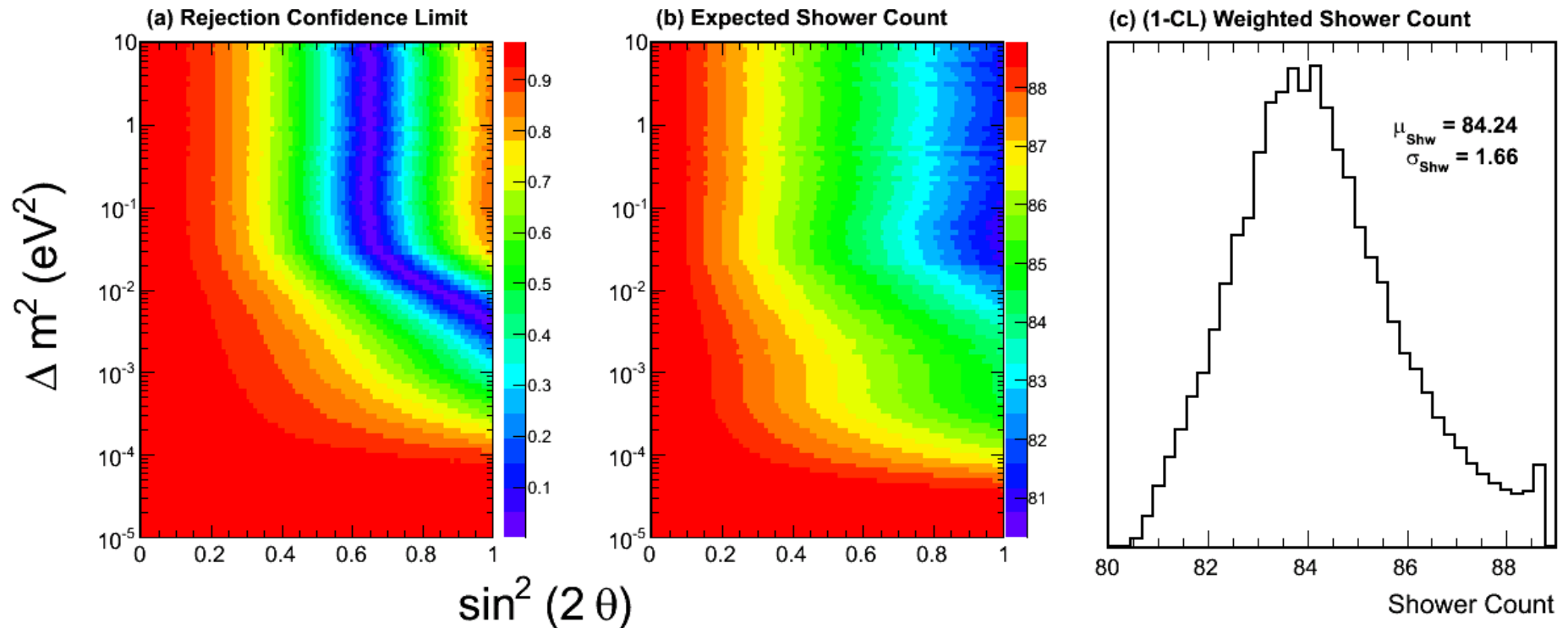
- An oscillation hypothesis (null or otherwise) posits an expected double ratio
- The expected double ratio is fluctuated to estimate the confidence limit for rejecting the measured double ratio.
- Found expected shower count for each oscillation hypothesis
- Weight expected shower event count by (1- rejection CL).
- The distribution of (1-CL) weighted shower count is centered at the shower count to use, and the width is a systematic error due to oscillation uncertainty



DR Oscillation Fit + Normalize



Find range of confidence limits from DR frequentist fit
Apply the range of CL values to shower count





Flux Scale

Measurement and Systematics



Obtain Flux Scale (S_v) from expected and observed shower counts.

$$S_v = \text{Obs}_{\text{shw}} / \text{Exp}_{\text{shw}}$$

Investigate the following systematic variances:

1. Tracking Energy Cutoff: 100keV vs.10keV
2. Quasi-Elastic cross-section: $\pm 10\%$
3. Neutral-Current cross-section: $\pm 25\%$
4. Neutron Flux Normalization: $\pm 20\%$
5. Oscillation (1-CL) Weight Shower Count RMS



Flux Scale Method #1 Result



| | $\Delta E_{\text{Shw}}(\%)$ | $\Delta S_v(\%)$ |
|--------------------------------------|-----------------------------|------------------|
| 10keV Cutoff | +4.86 | -5.01 |
| NC $\pm 25\%$ | ± 4.49 | $-(\pm 5.19)$ |
| QE $\pm 10\%$ | ± 2.36 | $-(\pm 2.54)$ |
| Neutron $\pm 20\%$ | ± 0.520 | $-(\pm 0.556)$ |
| Osc (1-CL) Weight | 1.91 | 2.12 |

Cumulative Systematic Error = 9.03%

$$S_v = 1.06 \pm 0.12(\text{stat.}) \pm 0.09(\text{syst.})$$



Flux Scale Method Comparison



- Double Ratio / Shower Count Method

$$S_v = 1.06 \pm 0.12(\text{stat.}) \pm 0.09(\text{syst.})$$

Statistically Consistent with $S_v=1.0$ to 58.1%

Stat + Syst Consistent with $S_v=1.0$ to 66.2%

- Likelihood Track & Shower Count Method

$$S_v = 1.07 \pm 0.12(\text{stat.}) \pm 0.09(\text{syst.})$$

Consistent with first method.



Alternate Flux Measurements



- Soudan2 measures of Battistoni S_{atm} to be:

$$S_{\text{atm}} = 1.02 \pm 0.07 \text{ (with-osc)}$$

- Compared to the MINOS measurement:

$$S_{\text{atm}} = 1.22 \pm 0.12 \pm 0.09 \text{ (with-osc)}$$

- Soudan2 used GHEISHA to model hadronization, while the MINOS simulations have uses GCALOR due to the CALDET results. If the MINOS flux measurement is performed again with GHEISHA hadron modeling, the flux scale to the Barr model is:

$$S_{\text{atm}} = 0.98 \pm 0.12 \pm 0.09 \text{ (with-osc)}$$

- Which may compare to the flux measurement from Soudan2 of:

$$S_{\text{atm}} = 0.91 \pm 0.07 \text{ (with-osc)}$$

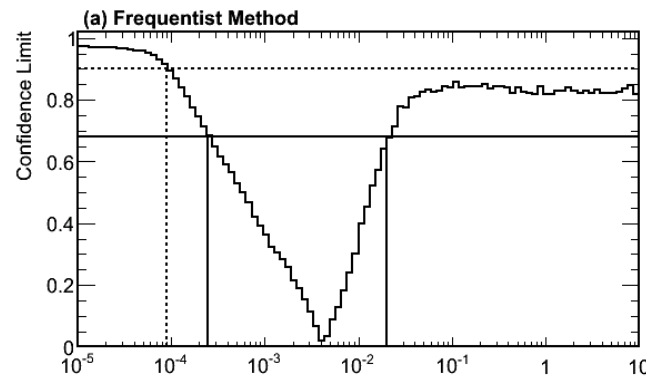


Comparison of Oscillation Slices

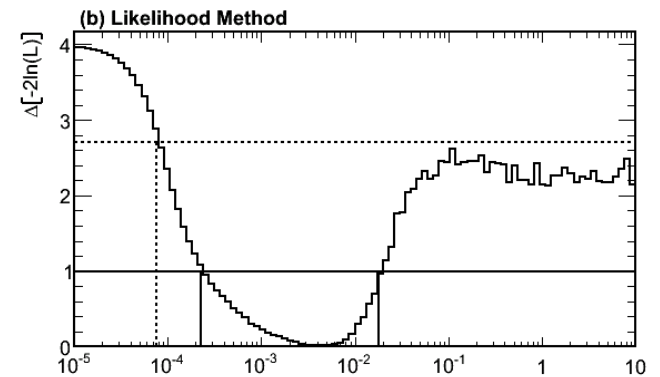


- Take 1D Slices from 2D Osc grid and compare.
- Frequentist fit deals with 1 constraint and 2 parameters.
- Likelihood fit deals with 2 constraints and 3 parameters.
- Both fits are under-constrained, but differ in shape.
- 68% CL in red boxes, compare nicely between methods.

$$2.43 \times 10^{-4} \text{ eV}^2 < \Delta m^2 < 2.02 \times 10^{-2} \text{ eV}^2$$

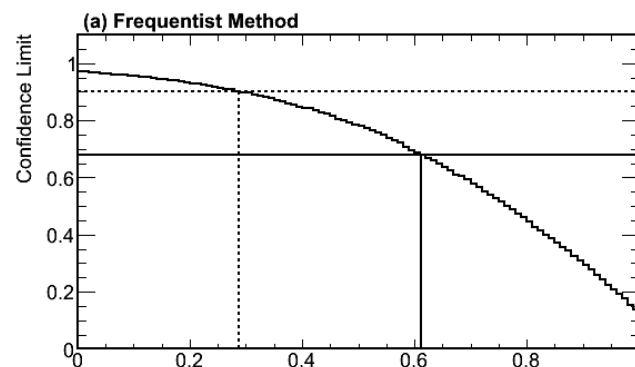


$$2.28 \times 10^{-4} \text{ eV}^2 < \Delta m^2 < 1.78 \times 10^{-2} \text{ eV}^2$$

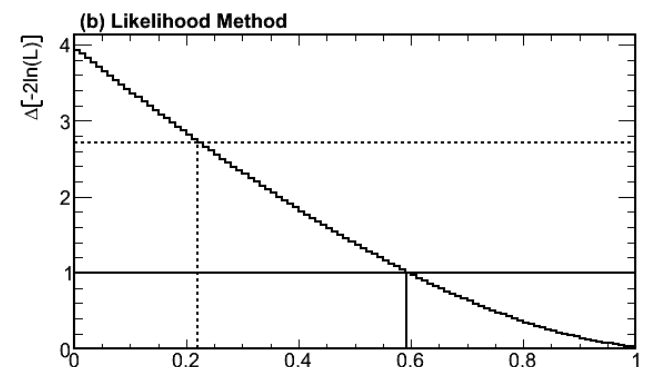


$\Delta m^2 (\text{eV}^2)$ for Slice at $\sin^2(2\theta)=1.0$

$$\sin^2(2\theta) > 0.611$$



$$\sin^2(2\theta) > 0.592$$



$\sin^2(2\theta)$ for Slice at $\Delta m^2=0.00274 \text{ eV}^2$